

1 **20230808 Ambroxol – cleaning inside neurons where mAbs can't reach**

2 Recent clinical trial results from monoclonal antibody (mAb) therapies directed against toxic amyloid beta
3 have ushered in a hopeful era for the treatment of Alzheimer's disease. mAbs including aducanumab
4 (Budd Haeberlein et al., 2022), lecanemab (van Dyck et al., 2023), and donanemab (Sims et al., 2023) have
5 all shown efficacy in clearing amyloid beta from cerebrospinal fluid and serum of patients enrolled in these
6 trials. Clearing amyloid beta in turn has proven effective in reducing levels of biomarkers associated with
7 disease progression such as phosphorylated tau species and markers of neuroinflammation including glial
8 acidic fibrillary protein.

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10 Despite these encouraging results, mAb therapies do not appear to be the final answer to the problem of
11 Alzheimer's disease. Even in successful mAb clinical trials, the effects on rates of cognitive decline in
12 patients receiving treatment have been described by clinicians as modest. This small clinical effect is
13 mirrored by biomarker tests of the neuronal protein neurofilament light chain (NfL). NfL levels in cerebral
14 spinal fluid and serum become elevated when neurons die (Giacomucci et al., 2022). In all mAb clinical
15 trials to date where NfL was tested as a secondary outcome (van Dyck et al., 2023), levels remained similar
16 in samples derived from patients in the placebo and treatment arms of the studies. These results suggest
17 that although mAb therapies are effective at removing the extracellular drivers of pathophysiology of
18 Alzheimer's disease, they are less capable of preventing neuronal death.

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20 Indeed, the pathophysiology of Alzheimer's Disease (AD) begins with disruptions to neuronal proteostasis.
21 Early in the disease process, degradation of proteins such as beta amyloid and tau made in neurons
22 become dysregulated, driving the formation of cytotoxic protein aggregates (Nixon, 2013). Although the
23 pathology begins inside neurons, mAb therapies are directed primarily at the removal of extracellular
24 aggregates of beta amyloid and tau. Therapies that work outside of neurons leaves the intracellular drivers
25 of neurotoxicity intact, indicating a probable mechanism for the continued evidence of neuronal loss
26 suggested by persistently high NfL levels. Thus, it is likely that a complete arrest to the Alzheimer's disease
27 process will require removing both extracellular and intraneuronal drivers of pathology.

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29 Multiple lines of evidence suggest that ambroxol, a drug patented for use in the United States by Zywie,
30 aids neurons in clearing intracellular waste. Originally developed as a mucolytic for treatment of
31 respiratory disease (Malerba and Ragnoli, 2008), more recent evidence has shown that ambroxol
32 enhances the autophagic cellular waste disposal system in multiple beneficial ways (Maegawa et al., 2009;
33 McNeill et al., 2014; Magalhaes et al., 2018; Mullin et al., 2020). Early realizations of its potential included
34 successful treatment of patients of the lysosomal storage disorder, Gaucher's disease (Zimran et al., 2013;
35 Narita et al., 2016; Istiti et al., 2021) and promising results in the treatment of Parkinson's disease (Mullin
36 et al., 2020).

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38 Ambroxol exerts a direct effect on autophagy by increasing Transcription Factor EB activity. Ambroxol is
39 known to accumulate in lysosomes, the primary degradative organelle of the cell (Fois et al., 2015). There,
40 ambroxol mobilizes intraorganellar calcium stores which results in the activation of lysosome-associated
41 transcription factor EB (TFEB). Activated TFEB relocalizes to the nucleus where it initializes transcription of
42 a gene network with master regulatory control over lysosomal and mitochondrial biogenesis (Medina et
43 al., 2015). Upregulation of this gene network results in increased expression of lysosomal enzymes such
44 as cathepsin D (Sardiello et al., 2009) known to degrade lysosomal substrates including alpha synuclein,

45 beta amyloid, and hyperphosphorylated tau (Martini-Stoica et al., 2018; Suire and Leissring, 2021).
46 Ambroxol-driven TFEB activity has been shown to enhance expression of these degradative enzymes in
47 the lysosomes of multiple model systems (McNeill et al., 2014; Choi et al., 2018; Magalhaes et al., 2018)
48 as well as markers of mitochondrial turnover (Magalhaes et al., 2018). In terms of AD pathology, ambroxol
49 has been shown to enhance tau clearance in several cell lines (Chauhan et al., 2015; Yang et al., 2022), and
50 reduced serum levels of tau in humans enrolled in a Parkinson's disease clinical trial (Mullin et al., 2020).
51 Zywie has further engineered ambroxol derivatives which more effectively engage cellular autophagy.
52 These compounds are currently pending patent approval.

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54 Over the history of its clinical use, Ambroxol has proven to be an exceptionally safe drug with no known
55 severe drug interactions, highlighting its potential for inclusion in drug cocktails for the treatment of
56 Alzheimer's disease. Ambroxol has been shown to be well-tolerated at high doses even by medically fragile
57 patients (Kantar et al., 2020) or complex (Mullin et al., 2020; Istiti et al., 2021) patients. Ambroxol also
58 has exceptionally high brain penetrance when taken orally (Mullin et al., 2020), simplifying administration
59 of clinical trials.

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61 Thus, Ambroxol serves a complimentary and potentially synergistic function to therapeutic approaches
62 like those exemplified by lecanemab, a monoclonal antibody that attaches to and removes aggregated
63 amyloid beta from the brain parenchyma (van Dyck et al., 2023). Ambroxol has an excellent safety profile
64 with gram-level dosage well-tolerated by patients and can be taken with many standard-of-care drugs with
65 minimal risk of adverse interactions. It has been approved for decades for use in the treatment of
66 bronchopulmonary disease, further mitigating the risk of unexpected long-term side effects arising in
67 chronic use cases. Ambroxol is therefore a strong candidate compound for use in drug cocktails designed
68 to treat Alzheimer's disease.

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